

U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE

EXPRESS MAIL LABEL NO.: None

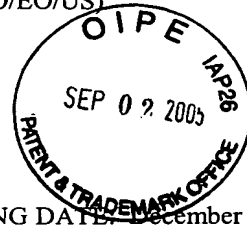
August 31, 2005

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER: 4626.P0002US

U.S. APPLICATION NO.  
(If known, see 37 CFR 1.5): 10/537 329

Confirmation No.: Unknown



INTERNATIONAL APPLICATION NO.: PCT/JP2003/015868

INTERNATIONAL FILING DATE: December 11, 2003

PRIORITY DATE CLAIMED: December 13, 2002

TITLE OF INVENTION: TREATING SOLUTION FOR SURFACE TREATMENT OF METAL  
AND A METHOD FOR SURFACE TREATMENT

APPLICANTS FOR DO/EO/US: (1) Takaomi NAKAYAMA, (2) Hiroyuki SATO, (3) Toshiyuki AISHIMA, (4) Eisaku OKADA,  
(5) Fumiya YOSHIDA and (6) Katsuhiro SHIOTA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:  
Postal Card

The following fees have been submitted:

Calculations PTO USE ONLY

21. ☐ BASIC NATIONAL FEE .....\$300.00

\$

22. ☐ EXAMINATION FEE

If International preliminary examination report prepared by USPTO and all claims

satisfy provisions of PCT Article 33(1)-(4).....\$100.00

All other situations .....\$200.00

\$

23. ☐ SEARCH FEE

Search fee (37 CFR 1.445(a)(2) has been paid on the international application to

the USPTO as an International Searching Authority.....\$100.00

International Search Report prepared and provided to the Office .....\$400.00

All other situations .....\$500.00

\$

**TOTAL OF 21, 22 AND 23 =**

\$

☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.

Total sheets \_\_\_\_ - 100 = Extra sheets \_\_\_\_/50 = Number of each additional 50 or fraction thereof \_\_\_\_ x \$250 .....

\$

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	17 - 20 =	0	X \$ 50.00
Ind. claims	1 - 3 =	0	X \$200.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00

\$

\$

\$

**TOTAL OF ABOVE CALCULATIONS =**

\$

☐ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.

**SUBTOTAL**

=

\$

Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).

+

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**TOTAL NATIONAL FEE**

=

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

+

\$

**TOTAL FEES ENCLOSED**

=

\$

**Amount to be refunded: \$**

**Amount to be charged: \$**

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.


b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1382. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

IN DUPLICATE

**PLEASE DIRECT CORRESPONDENCE TO THE ADDRESS  
ASSOCIATED WITH THE FOLLOWING CUSTOMER  
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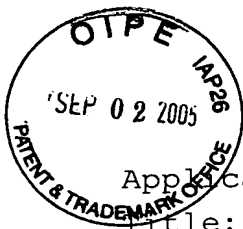
  
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300.05/05

Form PTO-1390 Page 2 of 2

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PATENT APPLICATION  
JCOC Rec'd PCT/PTO 02 SEP 2005

PCT

Applicants: Takaomi NAKAYAMA et al

Title: TREATING SOLUTION FOR SURFACE TREATMENT OF METAL  
AND A METHOD FOR SURFACE TREATMENT

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Atty. Docket No.: 4626.P0002US

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**FIRST CLASS MAILING CERTIFICATE**

Sir:

I hereby certify that this correspondence is being  
deposited with the United States Postal Service under 37 CFR  
1.8 as first class mail in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
22313-1450, on August 31, 2005.

  
Terryence F. Chapman

TFC/smd

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Correspondence: Information Disclosure Statement  
dated August 31, 2005  
including enclosures listed thereon

390.05/05